OFFICE OF CONTRACT COMPLIANCE (OCC)

CERTIFICATION, OUTREACH, REGULATIONS & ENFORCEMENT (CORE) SECTION

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<tbody>
<tr>
<td><strong>Effective date of Ordinances and Amendments</strong></td>
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<td>Prop. 209 – Effective 1996 Amend. 1 effective 10/5/16</td>
<td>Effective 5/5/1997 Amend. 1 eff. 1/14/99 Amend. 2 eff. 2/24/01 Amend. 3 eff. 1/19/10 Amend. 4 operative 10/5/16 Amend.5 operative 3/20/18</td>
<td>Effective 1/13/1996 Amend. 1 eff. 5/18/96 Amend. 2 eff. 1/14/99 Amend. 3 eff. 10/5/16 Amend 4 eff. 1/26/18</td>
<td>Effective 1/1/2000 Amend. 1 eff. 4/1/03 Amend. 2 eff. 5/1/03 Amend. 3 eff. 6/27/16</td>
<td>Effective 8/16/2003 Applicable to contracts 10/15/03</td>
<td>Eff. 1/1/2011 Amend. 1 eff. 10/5/16</td>
<td>Effective 1/23/2017</td>
<td>2010</td>
<td>Effective 7/18/18</td>
<td>Effective 11/2011</td>
<td>Issued 1/12/11</td>
<td>Eff. 1/14/2001 Amend. 1 eff. 9/22/04 Amend. 2 eff. 12/25/04 Amend. 3 eff. 1/1/05 Amend 4 eff. 7/19/15</td>
<td>Eff. 12/22/1999 Amend. 1 eff. 9/22/2004</td>
<td>Federal Davis-Bacon Act - Enacted 1931 California Labor Code - Enacted 1937 California Code of Regulations Title 8</td>
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### Applicable to which Departments?
- Council-controlled Depts. & Proprietary Depts.
- Council-controlled Depts. & Proprietary Depts.
- Council-controlled Depts. & Proprietary Depts.
- Council-controlled Depts. Only
- Council-controlled Depts. Only
- Council-controlled Depts., with a few exceptions
- Council-controlled Depts. Only
- Council-controlled Depts. Only
- Council-controlled Depts. or Proprietary Depts.
- Council-controlled Depts. Only
- Council-controlled Depts. & Proprietary Depts.
- Council-controlled Depts. Only
- Council-controlled Depts. & Proprietary Depts.
- Council-controlled Depts. & Proprietary Depts.

### Types of contracts where ordinances apply (DAAC=BCA)
- EEO: Non-construction ($>51K)
- Leases (All) Construction ($>51K)
- Non-construction ($>51K)
- Construction ($>51K)
- Service ($>51K and $>25,000)
- Leases (City as Lessor) or licenses
- City Financial Assistance Recipient ($>100K per 12 months)
- City Financial Assistance Recipient ($>25K and $>100K)
- Service ($>25K and $>100K)
- Service ($>100K and $>100K)
- All Agreements
- Service ($>25K and $>100K)
- Leases (All)
- City Financial Assistance Recipient ($>100K per 12 months)
- Service ($>25K and $>100K)
- All Agreements
- Service ($>25K and $>100K)
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- Service ($>25K and $>100K)
- Service ($>25K and $>100K)
- All Agreements

### General Provisions
- EEO: Adhere to Nondiscriminatory Clause
- Adhere to City's EEO provisions A
- All of the above
- Adhere to City’s Affirmative Action Plan to
- Contractors required to:
- Pay employees the City’s Living Wage which includes: a cash wage rate and an employer’s health benefits contribution.
- At the time a contract is terminated or expires and will be replaced by a new contract for the same services, the outgoing contractor must provide a list of contractors required to provide equal benefits to their employees.
- Disclosure of company’s participation or investments of profits derived from slavery during the Slavery Era (prior to 1865).
- Contractors are required to estimate the anticipated employment opportunities that they will need to fill in order to perform the service.
- Contractors are required to:
- Not include any inquiries into applicants’ criminal history on job applications/po
- Not require an bill of sale
- All contractors shall certify that they are not identified on the State of CA General Services List of unreliable or incompetent businesses or persons and that they are not
- Disclosure of company’s Border Wall Bids and Border Wall Contracts.
- Preference awarded prior to award of contract based on Prime/Sub local participation.
- Contractors required to perform subcontract / subconsultant outreach to all available MBE/WBE/EVE/DBE/DBE/ (BIP)
- Prior to contract award, City may make the determination that a prospective contractor has the necessary qualification, fitness, and capacity to perform the work set forth in the contract.
- Contractor required:
- Pay workers the state or federal prevailing wage rate - whichever is higher.
- Submit Certified Payrolls (CPRs) and related

Revised on 9/9/2019
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<tr>
<th>Bidders/Proposers' BAVN submission</th>
<th>Nothing required</th>
<th>Nothing required</th>
<th>Nothing required</th>
<th>EBO/FSHO Compliance Affidavit on BAVN</th>
<th>Disclosure Ordinances Affidavit on BAVN</th>
<th>Nothing required</th>
<th>Nothing required</th>
<th>Disclosure Ordinances Affidavit on BAVN</th>
<th>Must be certified LBE prior to bid due date or uploaded LBE affidavit.</th>
<th>Business Inclusion Program documentation submitted to Awarding Dept. through BAVN.</th>
<th>CRO Questionnaire and Pledge of Compliance</th>
<th>Nothing Required</th>
<th>Nothing Required</th>
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<td>Submittal During contract</td>
<td>Contractor submits EIF &amp; SF forms to the OCC.</td>
<td>Contractor submits FSHO-3A FSHO-3B to EWDD.</td>
<td>Must maintain LBE status and participation</td>
<td>Required to update Questionnaire if necessary.</td>
<td>Nothing Required</td>
<td>Contractor to submit CPRs weekly and related documentation as specified.</td>
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<td>Are Subs covered?</td>
<td>Yes, sub-contractor’s compliance is Prime contractor’s responsibility</td>
<td>Yes, submit Declaration of Compliance to Prime</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
<td>No</td>
<td>No</td>
<td>Yes</td>
<td>Yes, submit Pledge of Compliance to the Prime</td>
<td>No</td>
<td>Yes</td>
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